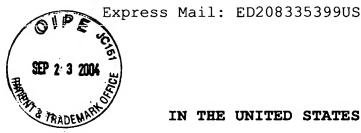
9124104

1PW



PATENT Docket no. KIN 017

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Sarin, et al.

Serial No.: 10/765384 Examiner: Davis, Daniel J.

Filed: 1/27/2004 Art Unit: 3731

Title: NON-IMAGING, COMPUTER ASSISTED NAVIGATION SYSTEM FOR HIP

REPLACEMENT SURGERY

Mail Stop NON FEE AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. 1.56 and 1.97(c), applicants bring to the attention of the Examiner the documents listed on the attached Form PTO/SB/08A. This information Disclosure Statement transmitted herewith is being filed after three months of the filing date of this national application or the date of entry of the national stage as set forth in section 1.491 in an international application but before the mailing date of the first Office action on the merits.

Accompanying this transmittal is:

(1) Form PTO/SB/08A (substitute for Form 1449). Copies of the references are not required because each item was previously cited or provided, and the parent application is identified in the Form SB/08A and priority is claimed.

Applicants respectfully request that the Examiner consider the listed documents and evidence that consideration of relevant portions thereof by making appropriate notations on the attached form.

The submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and applicants determine that the cited documents do not constitute "prior art" under United States law, applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

Date: 9/22/04

Respectfully,

William L. Johnson

Reg. No. 41,876

Attorney for Applicants

P.O. Box 1240

Somis, CA 93066-1240 Phone 805 386 0223

2

TRADE!

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE der the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Attorney Docket Number

Substitute for form 1449/PTO

Sheet 1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Application Number	10/765,384
Filing Date	1/27/2004
First Named Inventor	Sarin, et al.
Art Unit	3731
Examiner Name	

KIN017

Complete if Known

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 6,711,431B2	3/23/2004	Sarin, et al.	Parent app, priority
		^{US-} 5665090 A	9/1997	Rockwood	cited in parent
		US- 5807252 A	9/1998	Hassfeld et al.	cited in parent
		^{US-} 5880976	3/1999	DiGioia, III et al.	cited in parent
	Ï	^{US-} 6061644 A	5/2000	Leis	cited in parent
		^{US-} 6,204,411	3/2001	DiGioia, III et al.	cited in parent
		US- 6430434 B1	8/2002	Mittelstadt	cited in parent
		US- 2002/0077540 A1	6/2002	Kienzle, III	cited in parent
		US-			
	 	US-			
		US-			
		US-	3		
		US-			
		US-			
	 	US-			
	1	US-			

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document .	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	8
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T
		·				\vdash
	1					

Examiner	 Date	
Signature	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ¹ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the senial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.